

Effective on 12/08/04

O I P E  
FEE TRANSMITTAL SHEET  
MAR 05 2007  
(FY 2006)

Application No.
10/695,383

Filing Date
October 27, 2003

First Inventor
Ralph James

Group Art Unit
2188

Examiner Name
Craig E. Walter

Atty. Docket Number
501328.01 (30313/US)

☐ Applicant claims small entity status (see 37 C.F.R. 1.27)

METHOD OF PAYMENT (Check One)

☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No. 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP.

☒ Check Enclosed.

Extra Claim Fees

Current Claims	Prior	Extra	Fee	Fee Paid
Total	- 20	=	x \$	= \$
Ind.	- 3	=	x \$	= \$
Multiple Dependent Claims		=	x \$	= \$
Subtotal (Extra Claims)				\$

Petition Fee Under 37 CFR 1.17(f), (g), & (h)

Enclosed is a Petition filed under 37 CFR as indicated below:

☐ Petition Fee under 37 CFR 1.17(f) Fee \$400

§ 1.53(e) to accord a filing date.  
§ 1.57(a) to accord a filing date.  
§ 1.182 for decision on a question not provided for.  
§ 1.183 to suspend the rules.  
§ 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.  
§ 1.174(b) to accord a filing date to an application under §1.740 for extension of patent term.

☐ Petition Fee under 37 CFR 1.17(g) Fee \$200

§ 1.12 for access to an assignment record.  
§ 1.14 for access to an application.  
§ 1.47 for filing by other than all inventors or person not the inventor.  
§ 1.59 for expungement of information.  
§ 1.103(a) to suspend action in an application.  
§ 1.136(b) for review of a request for ext. of time when §1.136(a) not avail.  
§ 1.295 for review of refusal to publish a statutory invention registration.  
§ 1.296 to withdraw a req. for pub. after notice of intent to publish issued.  
§ 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.  
§ 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.  
§ 1.956 for request for ext. of time in *ex parte* reexam. proceedings.  
§ 5.12 for expedited handling of foreign filing license.  
§ 5.15 for changing the scope of a license.  
§ 1.5.25 for retroactive license.

☐ Petition Fee under 37 CFR 1.17(h) Fee \$130

§ 1.19(g) to request documents in a form other than provided in this part.  
§ 1.84 for accepting color drawings or photographs.  
§ 1.91 for entry of a model or exhibit.  
§ 1.102(d) to make an application special.  
§ 1.138(c) to expressly abandon an application to avoid publication.  
§ 1.313 to withdraw an application from issue.  
§ 1.314 to defer issuance of a patent.

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	\$
130	65	Surcharge - Late nonprovisional filing fee or oath	\$
180	180	Submission of IDS	\$180
40	40	Recording each patent assignment per property (times number of properties)	\$
120	60	Extension for reply within first month	\$
450	225	Extension for reply within second month	\$
1,020	510	Extension for reply within third month	\$
1,590	795	Extension for reply within fourth month	\$
2,160	1,080	Extension for reply within fifth month	\$
790	395	Submission After Final 1.129	\$
500	250	Notice of Appeal	\$
500	250	Filing a brief in support of an appeal	\$
1,000	500	Request for oral hearing	\$
130	65	Terminal Disclaimer Fee	\$
800	400	Design Issue Fee	\$
790	395	Request for Continued Examination (RCE)	\$
130		Request for voluntary publication or republication	\$
500	250	Petition to Revive - unavoidable	\$
1,500	750	Petition to Revive - unintentional	\$
200		Filing for patent term adjustment	\$
400		Request for reinstatement of term reduced	\$
1,120		Extension of term of patent	\$
OTHER FEE (specify)			\$
Subtotal (Additional Fees)			\$180
Total Amount of Payment:			\$180

Submitted by:

CUSTOMER NUMBER  
27,076

DORSEY & WHITNEY LLP

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Name: Edward W. Bulchis

Reg. No.: 26,847

Signature: *[Signature]* 43,605

Date: 2/27/2007





PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 28, 2007  
Date

Denise Sheridan  
Denise Sheridan

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/695,383	Confirmation No. : 5301
Applicant : Ralph James	
Filed : October 27, 2003	Attorney Docket No.: 501328.01 (30313/US)
Art Unit : 2188	Customer No. : 27,076
Examiner : Craig E. Walter	
Title : SYSTEM AND METHOD FOR USING A LEARNING SEQUENCE TO ESTABLISH COMMUNICATIONS ON A HIGH-SPEED NONSYNCHRONOUS INTERFACE IN THE ABSENCE OF CLOCK FORWARDING	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. A copy of the cited U.S. patent will not be submitted herewith in accordance with the waiver by the Office of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Applicant also wishes to make known to the Patent and Trademark Office that application, Serial No. 11/542,337 and 11/542,338, describing and claiming subject matter that is



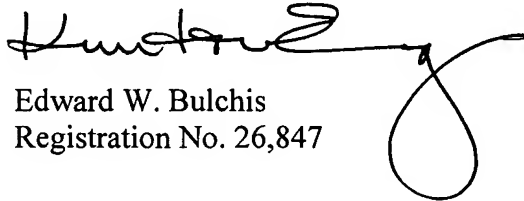
similar to the subject matter of this application, were filed on October 2, 2006. These related applications, as well as any prior art cited therein, may be material to the examination of this application. These related applications are identical to one another, therefore, only one copy of is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

I hereby certify that no item set forth on the attached form PTO-1449 was first cited in a communication from a foreign patent office in a counterpart foreign application and, to our knowledge, after making reasonable inquiry, no item of information set forth on the attached form PTO-1449 was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. (37 C.F.R. § 1.97(e)(2))

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
DORSEY & WHITNEY LLP



Edward W. Bulchis  
Registration No. 26,847

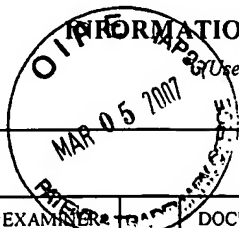
EWB:dms

Enclosures:

- Postcard
- Check
- Fee Transmittal Sheet (+copy)
- Copy of SIDS
- Form PTO-1449
- Related Applications (1)

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
501328.01APPLICATION NO.  
10/695,383APPLICANT(S)  
Ralph JamesFILING DATE  
October 27, 2003GROUP ART UNIT  
2188

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,502,621	03/26/96	Schumacher et al.	361	760	
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).